



# NFPA 3000® ACTIVE SHOOTER / HOSTILE EVENT RESPONSE PROGRAM RISK ASSESSMENT TOOL

NFPA 3000®, *Standard for an Active Shooter/Hostile Event Response (ASHER) Program*, helps guide communities and facilities responsible for developing, managing, and sustaining an ASHER program. Visit [nfpa.org/3000](https://nfpa.org/3000) for more information and free access to the standard.

## Purpose

The purpose of this tool is to provide a resource for communities and facilities to perform a risk assessment as part of the development of an ASHER program. Determining risk levels is a critical part of assigning resources and developing mitigation plans.

Note: This tool provides the minimum guidance to determine the components of an ASHER program risk assessment. If you are already conducting ASHER risk assessments, use this tool to help identify any potential gaps. The numbers in parenthesis that follow items below refer to a specific section in NFPA 3000.

## Risk Rating Instructions

Communities/facilities are strongly encouraged to use the following pages to formulate a risk probability and risk consequence rating. The ratings will be used as plot points on a probability/consequence graph. The graph will determine which risk category the facility is considered:

- Maximum Risk
- Moderate Risk
- High/Special Risk
- Low/Isolated Risk

Complete one form for each facility. The results for each facility will be combined into one overall matrix on the conclusion page.

## Step 1: Threat Identification (5.2 & 5.4.3)

Identify all locations where active shooter/hostile event (ASHE) incidents are capable of causing death, injury, property damage, or environmental impact and system disruptions.

Name or other identification of area/facility: \_\_\_\_\_

Identify the location's at-risk characteristics:

- Large numbers of people
- National or public significance
- Easy access
- Target of threats as gathered by intelligence groups

### Examples of At-Risk Locations

- Community festivals
- Concert venues
- Educational facilities
- Protests/demonstrations
- Public gatherings
- Religious facilities
- Sporting events
- Schools

## Step 2: Risk Probability Assessment (5.4.2)

Complete the matrix below based on the area/facility. The responses can be estimates or descriptive text as applicable.

Name or other identification of area/facility:			
Occupant/Attendee Information	Number of	Ages	Preparedness Measures
	Use	Building Construction Type	Ingress
Area/Facility Information	Accessibility	Availability of Map/Site Plan for Responders	Egress
	Area/Facility Location	Distance to and Capabilities of Medical Facilities	
Area/Facility Security	Security Capabilities of Venue	Access Control	Alarm Systems
			Fire Protection Systems
<b>Risk Probability Selection</b>			
Based on the information above, assign and check off a risk probability rating.			
<input type="checkbox"/> Very High = 4 <input type="checkbox"/> High = 3 <input type="checkbox"/> Medium = 2 <input type="checkbox"/> Low = 1			

**RISK PROBABILITY/ASSESSMENT NOTES:**

[Details on Known Intelligence Based on Historical Data for Similar Targets](#)

Information on Surrounding Area

- (1) Population demographics, including vulnerable groups and neighborhood residents
- (2) Private and public property, including critical [facilities](#), critical [infrastructures](#), and transportation facilities and corridors
- (3) Any positions that would provide a tactical advantage

[Geographic-Based Analysis](#)

**Step 3: Risk Consequence Assessment (5.4.1.2 & 5.3)**

Complete the matrix below based on the area/facility for each type of incident. The responses can be estimates or descriptive text as applicable. Assign a risk probability rating for each incident. Average the ratings and note at the bottom of the table.

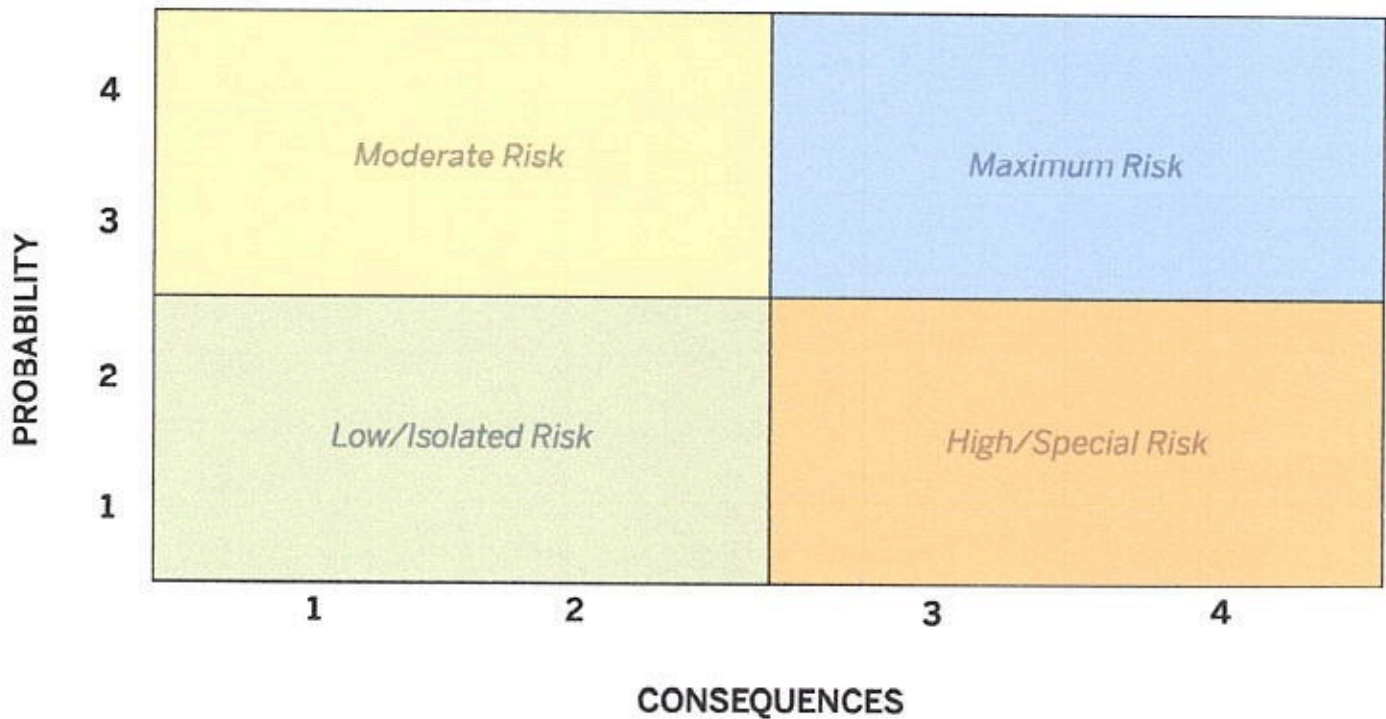
Name or other identification of area/facility:	Impacts					Risk Consequence Rating
	Type of Incident	Human Impacts	Economic Impacts	Community Impact	Functional Impact	
Active Shooter						1
Hostile Assailant						1
IED						1
Vehicle Born Attack						1
Fire as a Weapon						1
Average Risk Consequence Rating						1

**Step 4: Risk Probability and Consequence Matrix**

Plot the risk probability rating and the consequence rating from Steps 2 and 3 on the matrix below.

Risk Probability Rating         1    

Risk Consequence Rating         1    

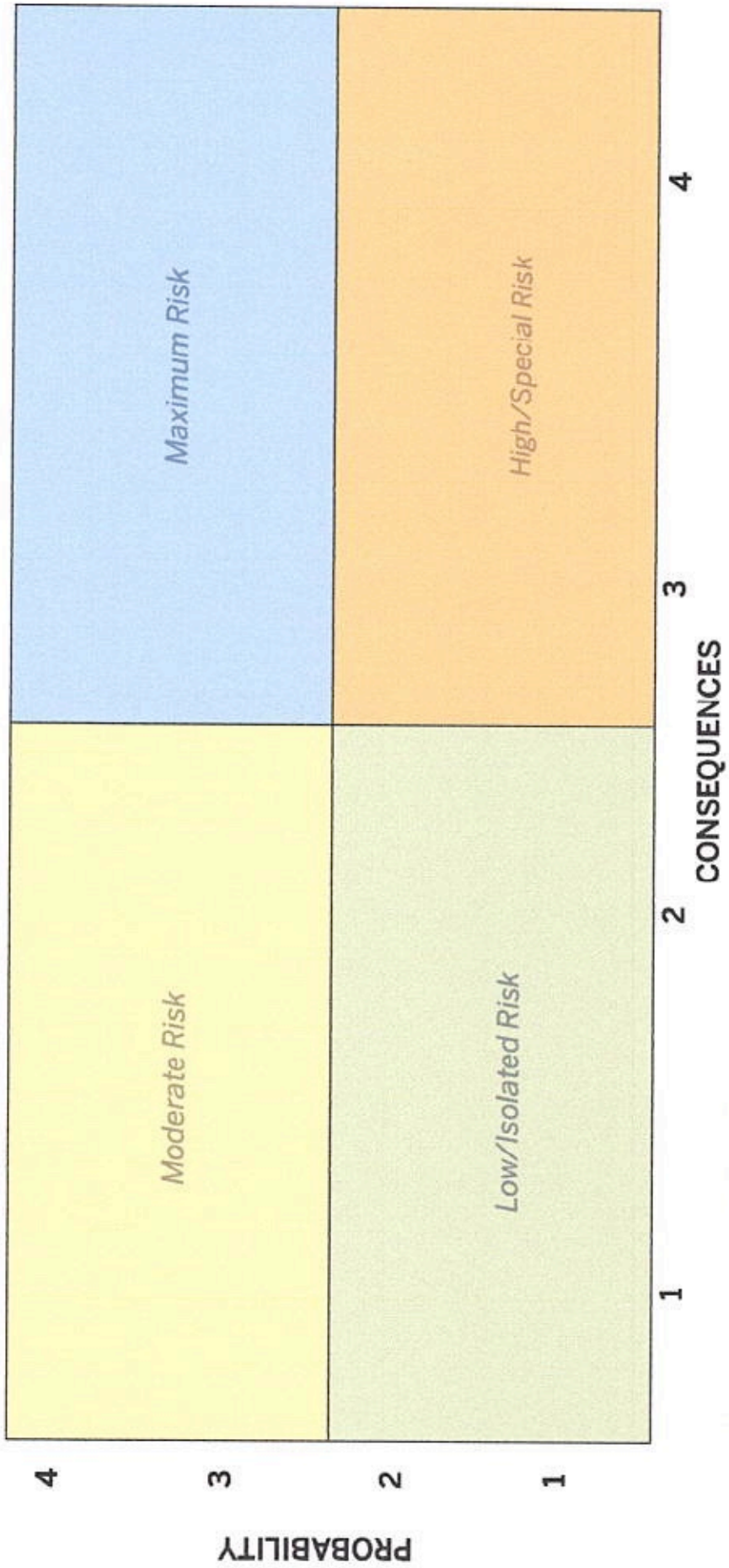


**NOTES ON NEXT STEPS**

Facility managers should share their risk assessment with their AHJ.

The AHJ should determine resource distribution based on ratings for the jurisdiction.

Based on overall risk rating, the facility manager and/or AHJ should revisit the risk probability assessment to determine if the area/facility attributes (i.e. accessibility, security, preparedness, etc.) can be improved.



If you have multiple facilities, use a separate form to complete Steps 1-3 then plot all the facilities in the same matrix using this key.

**FACILITY TYPE**

- \_\_\_\_\_
- ▲ \_\_\_\_\_
- ◆ \_\_\_\_\_
- \_\_\_\_\_

This material contains some basic information about NFPA 3000®, Standard for an Active Shooter/Hostile Event Response (ASHER) Program. It identifies some of the requirements in NFPA 3000® as of the date of publication. This material is not the official position of any NFPA Technical Committee on any referenced topic which is represented solely by the NFPA documents on such topic in their entirety. For free access to the complete and most current version of all NFPA documents, please go to [nfpa.org/socinfo](http://nfpa.org/socinfo). References to "Related Regulations" is not intended to be a comprehensive list. The NFPA makes no warranty or guarantee of the completeness of the information in this material and disclaims liability for personal injury, property and other damages of any nature whatsoever, from the use of or reliance on this information. In using this information, you should rely on your independent judgment and, when appropriate, consult a competent professional.

**IT'S A BIG WORLD.  
LET'S PROTECT IT TOGETHER.®**